

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jes Asmussen, Wen Shin Huang

For : PROCESS FOR SYNTHESIZING UNIFORM
NANOCRYSTALLINE FILMSJ1002 U.S. PTO
10/073710
02/11/02
HON. COMMISSIONER of PATENTS and TRADEMARKS
Washington, D. C. 20231

Sir:

**INFORMATION STATEMENT UNDER
RULE 1.97 and 1.98**

Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

Respectfully,


Jes AsmussenDate: 2/8/02

Wen Shin Huang
Date: _____



Ian C. McLeod, Attorney
Registration No. 20,931

Date: Feb 11, 2002

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PTO/SB/08A (10-96)

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Substitute for form 1449A/PTO		Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	
		Filing Date	
		First Named Inventor	Jes Asmussen
		Group Art Unit	
		Examiner Name	
Sheet	1	of	2
		Attorney Docket Number	
		MSU 4.1-572	



U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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(Information Disclosure Statement by Applicant (PTO/SB/08A) (1449A/PTO) [6-21—page 1 of 1])

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<p>Substitute for form 14498/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> <p>Sheet 2 of 2</p>				<p>Complete If Known</p> <table border="1"> <tr> <td>Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td></td> </tr> <tr> <td>First Named Inventor</td> <td>Jes Asmussen</td> </tr> <tr> <td>Group Art Unit</td> <td></td> </tr> <tr> <td>Examiner Name</td> <td></td> </tr> <tr> <td>Attorney Docket Number</td> <td>MSU 4.1-572</td> </tr> </table>		Application Number		Filing Date		First Named Inventor	Jes Asmussen	Group Art Unit		Examiner Name		Attorney Docket Number	MSU 4.1-572
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OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

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